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Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: INTROGENE B.V.

Application No./Patent No.: 5,994,128

Filed/Issue Date: November 30, 1999

Entitled: PACKAGING SYSTEMS FOR HUMAN RECOMBINANT ADENOVIRUS TO BE USED IN GENE THERAPY

INTROGENE B.V., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 8422, Frame 0658, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8)

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

September 8, 2000

Date


Signature

Domenico Valerio Ph.D.

Typed or printed name

President and CEO

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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MAY 16, 1997 BARBARA RAE-VENTER

PTAS

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Dtd. 5/27/97

UNITED STATES PATENT AND TRADEMARK OFFICE
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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/25/1997

REEL/FRAME: 8422/0658
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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FALLAUX, FRITS JACOBUS

DOC DATE: 02/17/1997

ASSIGNOR:

HOEBEN, ROBERT CORNELIS

DOC DATE: 02/17/1997

ASSIGNOR:

VAN DER EB, ALEX JAN

DOC DATE: 02/17/1997

ASSIGNOR:

BOUT, ABRAHAM

DOC DATE: 02/17/1997

ASSIGNOR:

VALERIO, DOMENICO

DOC DATE: 02/17/1997

ASSIGNEE:

INTROGENE

LANGE KLEIWEG 151

2280 GG RIJSWIJK, NETHERLANDS

8422/0658 PAGE 2

SERIAL NUMBER: 08793170
PATENT NUMBER:

FILING DATE:
ISSUE DATE:

MAURICE CARTER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

04-08-1997

PATENT
BOX ASSIGNMENT

ney Docket No. INGE.002.00US

100203778

RECORDATION FORM COVER SHEET - PATENT'S OFFICE

MRD 3.25.97

MAR 25 1997

1. Name of conveying parties: Frans Jacobus FALLAUX Robert Cornelis HOBEN Alex Jan VAN DER EB Abraham BOUT Domenico VALERIO	2. Name and address of conveying party(ies): INTROGENE Lange Kleiweg 151 2280 GG Rijswijk THE NETHERLANDS Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: February 17, 1997	
4. Application number(s) or patent numbers: If this document is being filed with a new application, the execution date of the application is: A. Patent Application No.: 08/793,170 B. Patent No.(s): Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name/address of party to whom all correspondence concerning document should be mailed: Barbara Rac-Venter, Ph.D. Rac-Venter Law Group, P.C. P.O. Box 60039 Palo Alto, CA 94306	6. Total number of applications/patents involved: One 7. Total fee (37 CFR 3.41): \$40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to charge deposit account 18-0020. A triplicate copy of this cover sheet is attached. 8. <input checked="" type="checkbox"/> Please apply any additional charges, or any credits, to our Deposit Account No. 18-0020. A triplicate copy of this cover sheet is attached.
9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Barbara Rac-Venter, Ph.D. <i>Barbara Rac-Venter</i> March 20, 1997 Name of Person Signing Signature Date <input checked="" type="checkbox"/> Total number of pages comprising cover sheet, attachments and document: 3	

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ASSIGNMENT

93087

WHEREAS FRITS JACOBUS FALLAUX; ROBERT CORNELIS HOEBEN; ALEX JAN VAN DER EB;
ABRAHAM BOUT AND DOMENICO VALERIO

and each one of them, hereinafter referred to as ASSIGNOR, is a named
inventor of an invention entitled: **PACKAGING SYSTEMS FOR HUMAN RECOMBINANT
ADENOVIRUS TO BE USED IN GENE THERAPY**
described and claimed in the specification in the application Serial No.
08/793,170 filed FEBRUARY 18, 1997 ; and

WHEREAS, INTROGENE, having a place of business at Lange Kleiweg 151, NL-2280 GG
RIJSWIJK, THE NETHERLANDS organized and existing under and by virtue of
the laws of THE NETHERLANDS , and engaged in business at

hereinafter referred to as ASSIGNEE, is desirous of acquiring the
said invention and any and all applications for Letters Patent and any
and all letters Patent of the United States and foreign countries
which may be issued therefor;

NOW THEREFORE THIS IS TO WITNESS that in consideration of the sum of
One Dollar (\$1.00) paid to ASSIGNOR by ASSIGNEE, the receipt of which
is hereby acknowledged, and for other good and valuable
consideration, ASSIGNOR has sold, assigned and set over and hereby
does sell, assign and set over, unto ASSIGNEE, its successors and
assigns, his or her entire right, title and interest for, to and
within the United States and all foreign countries, in and to the
aforesaid invention, and in and to any and all Letters Patent which
may be issued therefor in the United States and in any and all foreign
countries.

And ASSIGNOR hereby authorizes and requests the Commissioner of
Patents and Trademarks to issue any and all Letters Patent of the
United States to ASSIGNEE, its successors and assigns, as the owner of
all right, title and interest therein.

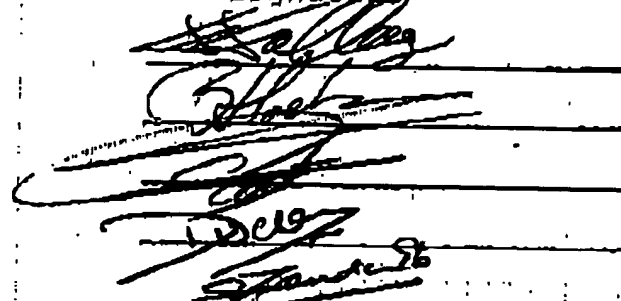
And for said consideration, it is hereby covenanted and agreed that
at the request and expense of ASSIGNEE, its successors and assigns,
ASSIGNOR will execute any further papers and do such other acts and
things as may be necessary and proper to permit ASSIGNEE, its
successors and assigns, to procure Letters Patent for said invention
in the United States and in such foreign countries as ASSIGNEE, its
successors and assigns may elect, and vest the full title thereto in
ASSIGNEE, its successors and assigns.

IN WITNESS WHEREOF, this assignment has been executed on the day
and month indicated below.

Date

FEBRUARY 17, 1997FEBRUARY 17, 199717 February 1997FEBRUARY 17, 1997FEBRUARY 17, 1997

Signature



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Prof. Dr. Domenico Valerio
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2314 EZ LEIDEN

04-08-1997

PATENT
BOX ASSIGNMENT


ney Docket No. INGE.002,00US

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RECORDATION FORM COVER SHEET - PATENT'S OFFICE

MRD 3-25-97

MAR 25 1997

1. Name of conveying parties: Frits Jacobus FALLAUX Robert Cornelis HORBEN Alex Jan VAN DER EB Abraham BOUT Domenico VALERIO	2. Name and address of conveying party(ies): INTROGENE Lange Kleiweg 151 2280 GG Rijswijk THE NETHERLANDS Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: February 17, 1997	
4. Application number(s) of patent numbers; International Appl. No. If this document is being filed with a new application, the execution date of the application is: A. Patent Application No.: 08/793,170 B. Patent No.(s): Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name/address of party to whom all correspondence concerning document should be mailed: Barbara Rae-Venter, Ph.D. Rae-Venter Law Group, P.C. P.O. Box 60039 Palo Alto, CA 94306	6. Total number of applications/patents involved: One 7. Total fee (37 CFR 3.41): \$40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to charge deposit account 18-0020. A triplicate copy of this cover sheet is attached. 8. <input checked="" type="checkbox"/> Please apply any additional charges, or any credits, to our Deposit Account No. 18-0020. A triplicate copy of this cover sheet is attached.
9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Barbara Rae-Venter, Ph.D. Name of Person Signing  Signature <u>March 20, 1997</u> Date <input checked="" type="checkbox"/> Total number of pages comprising cover sheet, attachments and document: 3	

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